

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF NORTH CAROLINA
Statesville Division

UNITED STATES OF AMERICA,

v.

No. 5:19-cr-22-MOC

GREG E. LINDBERG, *et al.*,

Defendants.

GREG E. LINDBERG'S THIRD AMENDED EXHIBIT LIST

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
1	2/10/2016	Email from J. Obusek to FAWG + Attachments	USA-00225379-413		
2	12/31/2016	Statement of Financial Conditions	USA-00214263-294		
3	3/6/2017	Email from H. Kaplan to L. Frazier	USA-01042888		
4	4/7/2017	Email from H. Kaplan to L. Frazier	USA-01042886-887		
5	4/28/2017	Letter from G. Lindberg to M. Causey	USA-00170028-30		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
6	5/29/2017	Email from L. Frazier to M. Causey	USA-01042886		
7	6/8/2017	Meeting invitation	USA-00935146		
8	6/21/2017	Email from L. Belo to K. Xu + Attachments	USA-00082197, USA-00044931-943		
9	6/23/2017	June 23, 2017 Email from L. Belo to J. Obusek	NCDOI Production		
10	6/28/2017	June 28, 2017 Meeting invitation	USA-01029587		
11	7/14/2017	Email from L. Belo to G. Lindberg, R. Martinez, and L. Hensley	USA-00978261-262		
12	8/1/2017	Email from R. Martinez to G. Lindberg + Attachment	N/A		
13	8/14/2017	Letter from J. Obusek to L. Belo	USA-00169567		
14	8/14/2017	Email from J. Obusek to G. Lindberg	USA-00169564-566		
15	10/16/2017	Email from L. Belo to B. Stewart	N/A		
16	11/17/2017	Email From J. Obusek to L. Hensley	USA-00022845-850		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
17	11/17/2017	Email from R. Martinez to G. Lindberg	USA-00041423-424		
18	11/18/2017	Email from G. Lindberg to L. Hensley	USA-00022846-47		
19	11/21/2017	Check	USA-01104000		
20	11/21/2017	Email from Gregory Lindberg to Ray Martinez + Attachments	N/A		
21	12/1/2017	Email from G. Lindberg to J. Obusek, M. Causey, Osborne, R. Martinez, L. Hensley, and L. Belo	USA-00934600		
22	12/1/2017	Email from G. Lindberg to various NCDOI employees	USA-00039114-115		
23	12/1/2017	Email from Ray Martinez to G. Lindberg	N/A		
24	January 2018 through October 2018	Call Log of phone calls between M. Causey and Agent Scherger	USA-00213598		
25	January 2019 through February 2019	Call Log of phone calls between M. Causey and Agent Scherger	USA-00817983		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
26	January 2018 through October 2018	Text Messages Chart between M. Causey and Agent Scherger	USA-00213597		
27	December 2018 through February 2019	Text Messages Chart between M. Causey and Agent Scherger	USA-00817983		
28	5/16/2018	Check	USA-01104089		
29	6/8/2018	Check	USA-01104094		
30	6/8/2018	Check	USA-01104095		
31	6/29/2018	Email from G. Lindberg to R. Perkins	N/A		
32	6/29/2018	Email from G. Lindberg to R. Perkins and T. Edwards	USA-00000892-893		
33	6/29/2018	Email from G. Lindberg to R. Perkins and T. Edwards	USA-00000892		
34	6/29/2018	Email from R. Perkins to G. Lindberg	USA-00084529-530		
35	7/2/2018	Email from J. Hoomani to L. Hensley	USA-00041085-1088		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
36	7/10/2018	Letter from G. Lindberg to New York Department of Financial Services	USA-00057525-533		
37	8/10/2018	August 10, 2018 Email from M. Osborne to L. Hensley	USA-00998024		
38	8/27/2018	August 27, 2018 FEC Campaign Finance Law Article	N/A		
39	11/7/2018	Email from Agent Scherger to Agent Granozio	USA-02239719-735		
40	11/7/2018	Email from Agent Scherger to Agent Granozio	USA-02239368-390		
41	4/7/2019	Woodard, John and Elizabeth City, "Omitted Details Color Reporting on Donor Scandal", The Daily Advance	N/A		
42	4/28/2019	Form 990, Return of Organization Exempt from Income Tax filed by John Palermo	N/A		
43	5/25/2019	May 25, 2019 Form 990, Return of Organization Exempt from Income Tax filed by John Palermo	N/A		
44	8/14/2019	Sworn Statement of Alwanda Beane	USA-02237013-7015		
45	2/5/2020	Letter from Daily Advance	N/A		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
46	2/17/2017	Email from L. Frazier to B. Stewart	N/A		
47	1/27/2018 2:48 pm	January 27, 2018 Audio Recording and Transcript	USA-00000136		
48	1/29/2018 2:38 pm	January 29, 2018 Audio Recording and Transcript	USA-00000138		
49	1/30/2018 7:47 pm	January 30, 2018 Audio Recording and Transcript	USA-00000140		
50	2/2/2018 3:10 pm	February 2, 2018 Audio Recording and Transcript	USA-00000142		
51	2/2/2018 3:40 pm	February 2, 2018 Audio Recording and Transcript	USA-00000146		
52	2/5/2018 8:13 pm	February 5, 2018 Audio Recording and Transcript	USA-00000148		
53	2/9/2018 8:48 pm	February 9, 2018 Audio Recording and Transcript	USA-00000153		
54	2/14/2018	February 14, 2018 Video Recording and Transcript			
55	2/15/2018 8:25 am	February 15, 2018 Audio Recording and Transcript	USA-00000157		
56	2/24/2018 5:46 pm	February 24, 2018 Audio Recording and Transcript	USA-00000159		
57	2/26/2018 8:46 pm	February 26, 2018 Audio Recording and Transcript	USA-00000165		
58	2/26/2018	February 26, 2018 Video Recording and Transcript			
59	2/28/2018 4:33 pm	February 28, 2018 Audio Recording and Transcript	USA-00000170		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
60	3/5/2018	March 5, 2018 Video Recording and Transcript			
61	3/5/2018 5:15 pm	March 5, Audio Recording and Transcript	USA-00000180		
62	3/11/2018 3:48 pm	March 11, 2018 Audio Recording and Transcript	USA-00000213		
63	3/15/2018	March 15, 2018 Video Recording and Transcript			
64	3/21/2018 2:56 pm	March 21, 2018 Audio Recording and Transcript	USA-00000002		
65	3/27/2018	March 27, 2018 Video Recording and Transcript			
66	3/28/2018 9:06 pm	March 28, 2018 Audio Recording and Transcript	USA-00000014		
67	3/29/2018 8:57 pm	March 29, 2019 Audio Recording and Transcript	USA-00000016		
68	4/3/2018 8:33 pm	April 3, Audio Recording and Transcript	USA-00000020		
69	4/5/2018 7:21 pm	April 5, 2018 Audio Recording and Transcript	USA-00000027		
70	4/7/2018 11:43 am	April 7, 2018 Audio Recording and Transcript	USA-00000031		
71	4/10/2018 5:39 pm	April 10, 2018 Audio Recording and Transcript	USA-00000036		
72	4/14/2018 4:42 pm	April 14, 2018 Audio Recording and Transcript	USA-00000040		
73	4/18/2018 8:21 am	April 18, 2018 Audio Recording and Transcript	USA-00000046		
74	4/19/2018 4:51 pm	April 19, 2018 Audio Recording and Transcript	USA-00000050		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
75	4/19/2018	April 19, 2018 Video Recording and Transcript			
76	4/25/2018 8:50 pm	April 25, 2018 Audio Recording and Transcript	USA-00000059		
77	4/26/2018 7:45 pm	April 26, 2018 Audio Recording and Transcript	USA-00000061		
78	4/30/2018 1:43 pm	April 30, 2018 Audio Recording and Transcript	USA-00000065		
79	5/1/2018 5:04 pm	May 1, 2018 Audio Recording and Transcript	USA-00000067		
80	5/1/2018 6:31 pm	May 1, 2018 Audio Recording and Transcript	USA-00000072		
81	5/2/2018 4:14 pm	May 2, 2018 Audio Recording and Transcript	USA-00000074		
82	5/2/2018 8:23pm	May 2, 2018 Audio Recording and Transcript	USA-00000078		
83	5/2/2018	May 2, 2018 Video Recording and Transcript			
84	5/16/2018 5:17 pm	May 16, 2018 Audio Recording and Transcript	USA-00000088		
85	5/16/2018	May 16, 2018 Video Recording and Transcript			
86	5/21/2018 6:09 pm	May 21, 2018 Audio Recording and Transcript	USA-00000092		
87	5/21/2018 7:14 pm	May 21, 2018 Audio Recording and Transcript	USA-00000095		
88	5/29/2018	May 29, 2018 Video Recording and Transcript			
89	6/19/2018 11:16 am	June 19, 2018 Audio Recording and Transcript	USA-00000111		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
90	7/9/2018 7:55 pm	July 9, 2018 Audio Recording and Transcript	USA-00000113		
91	7/25/2018	July 25, 2018 Video Recording and Transcript			
92	8/2/2018 11:09 am	August 2, 2018 Audio Recording and Transcript	USA-00000117		
93		Lou Hensley's text message exchanges with Brian Stewart	USA-00091905-92071		
94		Lou Hensley's text message exchanges with Rod Perkins	USA-00091330-91356		
95		Lou Hensley's text message exchanges with Greg Lindberg	USA-00091857-91904		
96	11/19/2017	Email from L. Hensley to T. Edwards	N/A		
97	12/18/2017	Email from G. Lindberg to R. Martinez	USA-01038523-526		
98	1/9/2018	Email from B. Stewart to S. Wicker	N/A		
99	2/5/2018	Email from S. Wicker to B. Stewart	N/A		
100	2015-2016	Department of Insurance Briefing Materials	USA-00297410-297525		
101	8/8/2018	Email from G. Lindberg to B. Stewart	USA-00197016		
102	5/31/2017	Email from L. Belo to H. Kaplan	USA-01044582-586		

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
103	1/4/2018	Email from M. Causey to M. Scherger	USA-00214327-28		
104	2/17/2018	Email from M. Causey to M. Scherger	USA-00214299-300		
105	3/27/2018	Email from M. Causey to M. Scherger	USA-00214486		
106	4/4/2018	Email from M. Causey to M. Scherger	USA-00214712-13		
107	4/7/2018	Email from M. Causey to M. Scherger	USA-00214826-27		
108	4/30/2018	Email from M. Causey to M. Scherger	USA-00214680-89		
109	5/2/2018	Email from M. Causey to M. Scherger	USA-00214706		
110	6/2/2018	Email from M. Causey to M. Scherger	USA-00214489		
111	6/3/2018	Email from M. Causey to M. Scherger	USA-00214501		
112	7/1/2018	Email from M. Causey to M. Scherger	USA-00214441		
113		North Carolina General Statute § 58-2-132			
114	9/15/2016	Video: Mike Causey, NC Commissioner of Insurance, available at https://www.youtube.com/watch?v=MEUAKd5rLWc			
115		Slide 3			

Ex. No.	Document Date	Description	Bates Number	Identified By	Admitted
116	4/4/2019	Wall Street Journal Article			
117		Audio and Video Recording Index			
118		Slide 7			
119		Slide 9			
120		Slide 14			

Respectfully submitted this 24th day of February, 2020.

s/ Brandon N. McCarthy

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CERTIFICATE OF SERVICE

I hereby certify that on February 24, 2020, the foregoing document was e-filed via CM/ECF which will send notification to counsel of record.

Dated: February 24, 2020

Respectfully submitted,

/s/ Brandon McCarthy
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